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Raising the diversity of Ugi reactions through selective alkylations and allylations of Ugi adducts

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10 Keywords: Ugi reaction; allylation; palladium; Tsuji-Trost reaction; metathesis; piperidines.

11 Abstract: We report here selective Tsuji-Trost type allylation of Ugi adducts using a strategy

12 based on the enhanced nucleophilicity of amide dianions. Ugi adducts derived from aromatic

13 aldehydes were easily allylated at their peptidyl position with allyl acetate in the presence of

14 palladium catalysts. These substitutions were compared to more classical transition metal free

- 15 allylations using allyl bromides.
- 16

17 **1** Introduction

18 Since its discovery and even more after the 1980's, the Ugi reaction has fascinated chemists with the 19 high diversity brought by its four components nature together with its impressive functional tolerance 20 (Dömling and Ugi, 2000; Hulme and Gore, 2003; Orru and de Greef, 2003; Zhu and Bienaymé, 2005; Dömling, 2006; Dömling et al., 2012; Zhu et al., 2014; Boyarskiy et al., 2015; Váradi et al., 21 22 2016; Lei et al., 2018). Besides important efforts devoted to the preparation of libraries of 23 heterocycles through cyclization of properly functionalized Ugi adducts (Tempest, 2005; Sunderhaus and Martin, 2009; Ivachtchenko et al., 2010; Orru and Ruijter, 2010; 2010; Sadjadi and Heravi, 2011; 24 25 Eckert, 2012; Sharma et al., 2015), a number of studies have focused on raising the diversity by 26 letting Ugi adducts react in further intermolecular couplings (Elders et al., 2009; Brauch et al., 2010; 27 Zarganes-Tzitzikas et al., 2015; Zarganes-Tzitzikas et al., 2015; Kaur et al., 2016). In contrast with 28 the previous intramolecular couplings, these strategies (such as the combination of MCRs) are much 29 more sensitive to steric hindrance and require more attention in selecting the functionalities required 30 for further couplings. For this reason, most transformations involving the peptidyl position of Ugi 31 adducts are limited to intramolecular reactions (Bossio et al., 1997; Trifilenkov et al., 2007; Salcedo et al., 2008; El Kaïm et al., 2011; Tyagi et al., 2013; Zhang et al., 2013; Ben Abdessalem et al., 2015; 32 33 Ghandi et al., 2015; Vachhani et al., 2015; Li et al., 2016). We recently proposed a dianionic amide 34 strategy to raise the nucleophilic behaviour of Ugi adducts derived from aromatic aldehydes and 35 demonstrated the interest of this approach using bis-electrophilic derivatives prone to trap the dianions and form heterocycles (scheme 1) (Zidan et al., 2017; Zidan et al., 2018). Following the high yielding cyclizations observed in these studies, we decided to explore more thoroughly the synthetic potential of these dianions (Thompson, 1994; Langer and Freiberg, 2004) towards more simple electrophiles. We now wish to report further applications of this chemistry in Tsuji-Trost reactions as well as metal-free alkylations with bromide derivatives (Scheme 1).

41 Scheme 1

42 2 **Results and discussion**

43 Following our interest in Tsuji-Trost reactions involving isocyanide based MCRs (Dos Santos and El Kaïm, 2014; Cordier et al., 2015; El Mamouni et al., 2016), we decided to explore the behaviour of 44 45 Ugi adducts dianions towards allyl acetate. Besides the efficiency and regioselectivity issues of the 46 reaction of these dianions with π -allyl palladium complexes, such study might bring interesting pathways for further enantioselective approaches. For this study, Ugi adduct 1a was selected due to 47 its good behaviour in our previous studies with propargyl bromide and diiodomethane. It was 48 prepared in 89% yield from 4-chloro-benzaldehyde, propylamine, acetic acid and tert-49 50 butylisocyanide. (Table 1). When 1a was heated with allyl acetate in THF using 2.5 equivalents of potassium tert-butoxide together with a Pd(dba)₂/PPh₃ catalytical couple, we were delighted to 51 observe a selective C-allylation of **1a** giving **3a** in 65% isolated yield after 2 hours refluxing (entry 1, 52 53 Table 1).

54 Table 1.

55 Different bases in various solvents were then evaluated using the same palladium/phosphine couple. 56 The enhanced nucleophilicty of the 1,3-amide dianion towards the π -allyl palladium cationic 57 complex was confirmed experimentally by comparing the use of 2.5 and 1.3 equiv of KHMDS 58 (affording respectively 93% and 37% isolated yields : entries 4 and 5, Table 1). NaH in DMSO, gave 59 the best conditions affording to our delight a nearly quantitative yield of **3a** in only one hour at room 50 temperature (entry 9, Table 1). Further modifications of the catalyst using more complex phosphines 51 led to longer reaction time and lower yields (entries 10-12, Table 1), while in absence of palladium 52 source no product was observed (entry 13, Table 1)

62 source, no product was observed (entry 13, Table 1).

63 A set of Ugi adducts were then prepared in methanol and submitted to these optimized allylation 64 conditions. The results are gathered in Scheme 2. As observed in our previous studies (Zidan et al., 2017; Zidan et al., 2018), an aryl group tethering the peptidyl position is required for efficient 65 allylation. Indeed, Ugi adduct 1j prepared from isovaleraldehyde failed to form the expected 3j 66 probably due to the inability to form the dianionic intermediate with this less acidic substrate. 67 Surprisingly, we didn't observe much correlation between yields and the electronic nature of the 68 69 aldehyde as shown by the similar yields obtained with both 4-nitro or 4-methoxy substituted 70 derivatives 3b and 3c. A much stronger effect of the substitution partner of the aromatic moiety was observed with 2-chloro substituted Ugi adduct 1h which failed to give any adduct under these 71 72 conditions as observed with 1j. The same behaviour was observed for the attempted synthesis of the fluoro analogue 3i. This lack of reactivity can probably be explained by the steric hindrance brought 73 by substituents at the ortho position preventing to reach the planar geometry required for benzylic 74 anion stabilization. Initial trials on enantioselective allylations were rather deceiving. When 75 76 triphenylphosphine was replaced by BINAP (5mol %) for the reaction of 1a with 2a, 3a could be formed rapidly in good yield (89%) but poor enantioselectivity (5%) whereas the use of Trost ligand 77 78 (DACH-phenyl) failed to give any allylation reaction. A wider scope of chiral ligands as well as

alternative solvents allowing lower temperatures will have to be evaluated to reach an enantioselective version. The reaction could not be extended to more substituted allylic acetate derivatives such as cinnamyl acetate. The latter failed to react with Ugi adduct **1a** even under

82 prolonged heating, leading only to saponification of the ester and isolation of cinnamyl alcohol.

83 Scheme 2.

84 Submitting propargylamine-derived Ugi adduct 1s to the same reaction conditions didn't afford any allylated product but resulted in the clean formation of 2,3-dihydropyrrole 4 (Scheme 3), a reaction 85 already reported by Miranda et al. in 2012 (Polindara-García and Miranda, 2012). They could isolate 86 the same dihydropyrrole 4 in 36% yield treating 1s with t-BuOK (2.5 equiv) in THF, while we could 87 obtain 79% yield with our conditions. This selectivity was not very surprising when considering the 88 89 respective intra and intermolecular properties of the two pathways. However, the ability to form envne derivatives from Ugi adducts together with the interest of reversing a preferred selectivity were 90 91 challenging enough to explore different set of conditions. Indeed, the dihydropyrrole formation 92 probably involves a first isomerization into allene followed by further 5-endo-trig cyclization. This 93 could leave some space for a previous intermolecular allylation if the lifetime of the dianion could be 94 reduced by increasing the kinetic of the allylation step. Introducing the allyl acetate together with the 95 palladium catalyst and the Ugi adduct in DMSO followed by the addition of the base resulted in a 96 lower 54% yield of 4 together with a complex mixture of allylated products. We next explored the 97 use of allyl bromide as a potential electrophilic species in the absence of palladium. When adding the latter to Ugi adduct 1s followed by NaH, we were delighted to observe the expected enyne 3s 98 obtained in 58% isolated yield without any trace of dihydropyrrole (Scheme 3). This interesting 99 100 control of the selectivity offers an attractive access to envne derivatives for further cyclization 101 studies.

102 Scheme 3.

103 These conditions settled with allyl bromide proved useful as well for the reaction of other alkyl 104 bromides and iodides as shown in Scheme 4. The lowest 30% yield of 6c obtained using 3bromocyclohex-1-ene may be explained by the use of secondary halides together with the high steric 105 106 hindrance around the peptidyl position of the Ugi adduct. In order to confirm further the importance 107 of forming dianionic intermediates, the formation of **3a** was attempted using allyl bromide in excess (1.5 equiv) but reducing the amount of sodium hydride to 0.9 equiv. In this case, only traces of 108 109 allylated compound could be identified after 2h at rt whereas the reaction was completed after the 110 same time when using 2.5 equiv of sodium hydride. Interestingly, whereas under Tsuji-Trost type 111 conditions we couldn't observe any allylation of both 2-chloro and 2-fluoro substituted derivatives 1h 112 and 1i, the latter gave us a moderate 40% isolated yields of 3i when using just sodium hydride with 113 allyl bromide.

114 Scheme 4.

A further interest of the use of palladium free conditions may be found in the ability to carry the reaction starting directly from the four Ugi components. Indeed remaining isocyanides after the Ugi reaction are potentially inhibitor for most classical palladium catalyzed processes making thus onepot processes difficult to achieve (El Kaim et al., 2008).¹ After completion of the Ugi adduct, the

¹ However, one-pot processes may be envisioned if the remaining isocyanide is destroyed by an acidic hydrolysis prior palladium addition.

119 methanol was evaporated under reduced pressure. The solvent was then replaced by DMSO. Addition

120 of sodium hydride followed by allyl bromide afforded the final allylated Ugi adducts in good overall

- 121 yields (Scheme 5).
- 122 Scheme 5.

With this easy allylation of Ugi adducts in hand, we decided to take advantage of the diversity 123 124 offered by the latter coupling to explore Ugi/allylation/Ring Closure Methathesis (RCM) strategies towards various nitrogen based heterocycles. The use of RCM as Ugi post-condensation has already 125 126 demonstrated its power for the formation of 5, 6-membered heterocycles as well as macrocyclic derivatives (Banfi et al., 2003; Beck et al., 2003; Hebach and Kazmaier, 2003; Ribelin et al., 2007; 127 Ku et al., 2011). However the synthetic potential of these strategies is in a way limited by the need of 128 129 introducing the two allylated moieties at an early stage which reduces the diversity offered by the two-step process. The late stage allylation we propose is highly versatile allowing to settle the 130 131 strategy with a single alkenyl moiety in the starting components. Thus using allyl amine, we could 132 easily prepare a library of piperidines with five points of diversity (Scheme 6).

133 Scheme 6.

134 This access to 3,4-dehydropiperidine derivatives 7 could be further enriched by a potential

isomerisation into cyclic enamines as demonstrated by the ruthenium hydride catalysed conversion of

136 **7a** into **8** (Scheme 6). The versatility of the method can be pictured by the alternative choice of the 137 alkenyl moiety on the acidic component such as in cinnamic acid offering now a very simple access

- 137 arkenyl molety on the acture component such as in chinamic actu onering now a very simple acce
- 138 to 3,4-dehydropiperidine-2-one **9** (Scheme 7).
- 139 Scheme 7.

140 **3** Conclusion

In summary, we have extended the potential of the Ugi reaction using 1,3-amide dianionic species as intermediates for efficient allylations at the peptidyl moiety of Ugi adducts. The procedure raises the diversity of Ugi adducts and offers unique opportunities for the preparation of nitrogen based heterocycles through association with ring closure metathesis. The power of the latter strategy has been demonstrated by the preparation of various piperidines which are important scaffolds for medicinal applications.

- 147
- 148
- 149 **Scheme 1**. Reaction of Ugi amide dianion with mono and biselectrophiles.
- 150 Scheme 2. Scope of the Ugi/Tsuji-Trost cascade
- 151 **Scheme 3.** Allylation of Ugi propargyl adduct.
- 152 **Scheme 4.** Scope of alkylating agents
- 153 Scheme 5. Cascade one pot reaction
- 154 Scheme 6. Ugi/allylation/RCM strategy

Running Title

Scheme 7. Ugi/allylation/MCR towards piperidones 155

156 3.1 Tables

157 Table 1. Screening of various conditions^a

158		OAc Pd(dba) ₂ (5 mol%) PPh ₃ (10 mol%) Base , Solvent Temp, Time				
	Entry	Base (equiv)	Solvent	Temp (°C)	Time (h)	Yield (%)
	1	<i>t</i> -BuOK(2.5)	THF	reflux	2	65
	2	<i>t</i> -BuOK(2.5)	DMF	70	12	70
	3	KHMDS (2.5)	THF	reflux	1	95
	4	KHMDS (2.5)	THF	50	1	93
	5	KHMDS (1.3)	THF	50	1	37
	6	KHMDS (2.5)	THF	rt	1	88
	7	NaH (2.5)	THF	reflux	12	45
	8	NaH (2.5)	DMF	70	12	50
	9	NaH (2.5)	DMSO	rt	1	96
	10 ^{<i>b</i>}	NaH (2.5)	DMSO	rt	12	Traces
	11 ^c	NaH (2.5)	DMSO	rt	12	80
	12 ^{<i>d</i>}	NaH (2.5)	DMSO	rt	1	94
	13 ^e	KHMDS (2.5)	THF	rt	12	-

- 159 ^aReaction conditions: **1a** (0.5 mmol), **2** (0.75 mmol), Pd(dba)₂ (0.025 mmol) and PPh₃ (0.05 mmol) in solvent (0.5 M).^bUsing Xantphos (5 mol%) instead of PPh₃. ^cUsing Johnphos (10 mol%) instead of 160
- PPh₃. ^dUsing Pd(PPh₃)₄ (5 mol%) instead of Pd(dba)₂/PPh₃. ^eReaction without Pd(dba)₂ and PPh₃. 161
- 162

Conflict of Interest 163 4

The authors declare that the research was conducted in the absence of any commercial or financial
 relationships that could be construed as a potential conflict of interest.

166 **5** Author Contributions

- 167 AZ was responsible for designing and performing the experiments. AZ, AMLN, NEAAES, AKA and
- 168 LEK discussed the evolution of the project and revised the manuscript together, LEK and AKA
- 169 directed the project and wrote the publication.

170 6 Acknowledgment and dedication

- 171 We dedicate this work to our colleague Mohamed Ali Hassan who passed away few months before
- the end of this study. We gratefully acknowledge a Scholarship from the Egyptian Government,
- 173 which was awarded to A. Zidan.

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